

The background of the slide is a scenic photograph of a city skyline at sunset. The sky is filled with soft, colorful clouds in shades of orange, pink, and purple. Several tall buildings are visible, some with construction cranes. The city is reflected in the calm water in the foreground. A blue rectangular box is overlaid on the image, containing the title and presenter information.

**ACET Conference 2022-2023:
Post-NOGA Monitoring of the ESSA Consolidated Federal
Grant Application**

Presented by Laura Salazar

This presentation is intended solely to provide general information and guidance to Texas LEAs and reflects the Texas Education Agency's current understanding of the presentation topics and applicable federal guidance. The content of this presentation is subject to change as a result of further potential information and guidance provided by federal agencies with regulatory oversight of these program(s). This presentation does not constitute legal advice, and LEAs are, therefore, advised to seek legal counsel regarding the information and guidance provided in this presentation before acting on such information and guidance.

- Post Award Compliance Unit Process Overview,
Presented by Laura Salazar**

- Title I, Part A Monitoring Review: SC5000,
Presented by Jaime Huerta**

- Federal Fiscal Monitoring Process Overview,
Presented by Will Doyle**



**Post-Award Compliance (PAC) Unit
Post-NOGA Review Process**

Federal Fiscal Compliance and Reporting Division

Presented By: Laura Salazar

- Overview of PAC Process
- Identified Items of Non-Compliance ESSA 2022-2023
 - Resources
 - Questions
- PAC Unit Contact Information

Overview of PAC Process

Overview of PAC Process

- The Post-Award Compliance (PAC) is a new unit within the Federal Fiscal Compliance and Reporting Division.
- The unit conducts a post-award compliance review of randomly selected federal grant applications.
- The PAC reviews grant applications for compliance with applicable statutes, regulations, nonregulatory guidance and grant guidelines.
- The PAC reviews include items that were previously part of the Grants Administration negotiation process that are not included in the new streamlined review process.
- If PAC review identifies areas of noncompliance, the subrecipient will be notified of the items to be addressed via an amendment.

Preliminary and Final Reports

- If the PAC does not identify any area of noncompliance, then PAC will move directly to issuing a ***final report***. (*Scenario #1*)
- If the PAC does identify areas of noncompliance, then the PAC will issue a ***preliminary report***, which will include the following:

Preliminary Report:

An Outline of the Items of Non-Compliance

Applicable technical assistance resources.

Deadline to submit an amendment to address the noncompliance.

Preliminary Report Example

Post Award Compliance Preliminary Report

LEA Name: [REDACTED]	CDN: [REDACTED]
Name of Grant: [REDACTED]	
Name of Reviewer: [REDACTED]	Date of Review: [REDACTED]

Needs Improvement

[REDACTED]

Suggested Resources

[REDACTED]

Following the review of the amendment, PAC will issue a final report, which will either indicate that all areas of noncompliance noted in the preliminary report:

- **Were addressed:** TEA will consider the review process closed.
- **Were NOT addressed:** PAC will refer the subrecipient to TEA's Federal Grant Compliance Officer for the Non-Compliance Resolution Process.

Final Report Examples

Subject: Final Report of Post Award Compliance Review

Dear [Enter Contact Name Here]:

Staff from the Post Award Compliance Unit within the Federal Fiscal Compliance and Reporting Division have completed their final review of [Enter Subrecipient Name Here] administration of the [Grant Reviewed] awarded by TEA for the [Enter School Year] school year.

Your organizations amendment in response to the Preliminary Report dated [Enter Date Reviewed Drop Down], has been reviewed and a summary has been incorporated into the body of this Final Report, as deemed appropriate.

TEA considers this review complete unless outstanding issues have been indicated in the included summary. In the event there are outstanding issues, the application will be referred to the Grant Compliance Officer, and this individual will be in contact regarding any outstanding issues. In addition, the records associated with this grant application remain subject to review by the TEA program office and other appropriate federal or state oversight entities.

We appreciate your cooperation during the conduct of our review. Should you have any questions, please contact the Post Award Compliance Unit at, pac@tea.texas.gov.

Scenario #1:
Issue a Final Report indicating there are no issues of non-compliance.

Reviews Completed	Dates of Completion
Preliminary Review	Click or tap to enter a date.
Amendment Submitted	Click or tap to enter a date.
Amendment Reviewed	Click or tap to enter a date.

Final Report Issued on, [Click or tap to enter a date.]

Summary of Identified Compliance Issues		
Section of Application	Description of Identified Issues	Issue Resolved
Click or tap here to enter text.	Click or tap here to enter text.	Click or tap here to enter text.
Click or tap here to enter text.	Click or tap here to enter text.	Click or tap here to enter text.
Click or tap here to enter text.	Click or tap here to enter text.	Click or tap here to enter text.

Scenario #2: Issue a Final Report after reviewing an initiated amendment. The final report provides an outline of what was reviewed and summarizes if the items of non-compliance were resolved.

Updates to Grant Applications

PAC Unit Selection on Grant Applications

PDF Application

TEA Texas Education Agency

20XX-20XX Grant name (match TEA Grant Opps page as closely as possible)
 Application Due 5:00 p.m. CT, MONTH XX, 20XX

NOGA ID

Authorizing legislation Name of statute/rider here

SUBMITTING THE APPLICATION

This application must be submitted via email to grantapplications@tea.texas.gov by 5:00 p.m. CT, Month XX, 20XX.

Both Application Part 1(PDF) and Application Part 2(Excel) are required when submitting.
 The application may be signed with a digital ID, or it may be signed by hand and scanned. Then submit via email.

Grant period from XX/XX/20XX, or stamp-in date, whichever is later, to XX/XX/20XX

Pre-award costs permitted, if requested, from XX/XX/20XX, to stamp-in date OR N/A

Required Parts of the Grant Application
 To apply for this grant, complete this form and the Application Part 2(Excel) workbook consisting of the grant's budget schedules, linked along with this form on the TEA Grant Opportunities page. **Before you email your grant application, ensure that your submission includes both the signed and certified form and the completed budget schedules.**

Grant Application Type

Original Amendment

Amendment Number Check the box if applicable: This amendment is being submitted as requested and required by TEA's Post-Award Compliance Unit.

Applicant Information

Organization CDN Vendor ID ESC UEI

eGrants Application

Schedule Status: <Selection_Process> Application ID: X000000000000000X

eGrants TEXAS EDUCATION AGENCY SAS#: XXXXXXXXX

Organization: _____ County District: _____
 Campus/Site: _____ ESC Region: _____
 Vendor ID: _____ School Year: _____

<Name of Grant Program> Instructions

<General Information>
 GS2900 - Purpose of Amendment

Part 1: Amendment Justification
 For all grants, regardless of dollar amount, prior written approval is required to make certain changes to the application. Refer to the "When to Amend the Application" guidance posted in the [Amending an Application](#) section of the Grants Administration Division's webpage to determine when an amendment is required for this grant. Use that guidance to complete this schedule.

A. Required Post-Award Compliance Amendment

Is this amendment being submitted as requested and required by TEA's Post-Award Compliance Review Unit? Yes No

B. Amendment Justification

For all amendments, provide a brief description and reason of each change. Refer to the Instructions for examples. Do **not** include brand names, vendor names, or calculations as part of your description.

Please also indicate in the Amendment Justification/Purpose of Amendment:
 "This amendment is in response to a request from the PAC Unit."

Identified Items of Noncompliance Seen on 2022-2023 ESSA Consolidated Applications

ESSA Consolidated Grant Application Reviews

Overview of Noncompliance Items

Section: PS 3101,
"Other" field,
Number 11

Section: PS 3104, Items
Being Entered in
"Other" Column
Encompassed in Part 1,
Section C, Options 1-3

Section: BS 6101, Number
of Positions Listed NOT
Dollar Amounts

Section: BS 6601,
Allowable use of funds,
Special and Unusual
Costs Form



If the *"Federal Program Support"* is being provided by a contractor/consultant, it needs to be itemized on BS6201. If it is being provided by an ESC, it can be included in *"Costs that Do Not Require Specific Approval"* on BS6201.

C. Activities to be Conducted with Reserved Funds			
N/A	Activity	Reserved Funds Amount	Percentage
1.	<input checked="" type="checkbox"/> Districtwide Parent and Family Engagement Activities		0.00%
2.	<input type="checkbox"/> Title I, Part A, Services to Eligible Private School Students, Not Including Administration		0.00%
3.	<input type="checkbox"/> Administration of Title I, Part A Programs for Eligible Private School Students		0.00%
4.	<input checked="" type="checkbox"/> Preschool Programs		0.00%
5.	<input checked="" type="checkbox"/> Administration of Title I, Part A, Programs (including administration of Title I, Part A, programs for students at facilities for neglected and delinquent)		0.00%
6.	<input checked="" type="checkbox"/> Districtwide Professional Development Activities		0.00%
7.	<input type="checkbox"/> Services to Homeless Students	\$100	0.08%
8.	<input checked="" type="checkbox"/> Services to Students Residing in Local Facilities for the Neglected		0.00%
9.	<input checked="" type="checkbox"/> Services to Students Residing in Local Facilities for the Delinquent		0.00%
10.	<input checked="" type="checkbox"/> Foster care transportation		0.00%
	Other (Specify): Federal Programs Support	\$8,743	6.80%
Total Reserved Funds		\$8,843	6.88%

Part 2: Additional Information (optional)



PS 3104, "Other" Field Items that Fall Under Choices 1-3, Title II, Part A-Supporting Effective Instruction

C. Planned Uses of Funds by Area of Focus

- 1. Recruit, Support and Retain Effective Teachers and Principals
- 2. Professional Development/Educator Growth
- 3. Evidence-Based Activities
- 4. Other Allowable Activities (not included in questions 1-3 above)

Class size reduction

C. Planned Uses of Funds by Area of Focus

- 1. Recruit, Support and Retain Effective Teachers and Principals
- 2. Professional Development/Educator Growth
- 3. Evidence-Based Activities
- 4. Other Allowable Activities (not included in questions 1-3 above)

Improving the capacity of campus leaders to coach teachers.

Items Listed in Other Field Need to be Removed if They Fall Under Choices 1-3



BS 6101, Payroll-Number of Positions

Part 2: Number and Type of Positions

A. Administrative Support or Clerical Staff								
Position Type	Title I, Part A	Title I, Part C Migrant	Title I, Part D Subpart 1	Title I, Part D Subpart 2	Title II, Part A	Title III, Part A ELA	Title III, Part A Immigrant	Title IV, Part A - SSAEP
1. Administrative support or clerical staff (integral to program)	10000			10000	2000			10000

Number of Positions Instead of Dollar Amounts



BS6601, Capitol Outlay- Special/Unusual Costs Form-Health/Safety, Effective Technology Use, Well Rounded Education

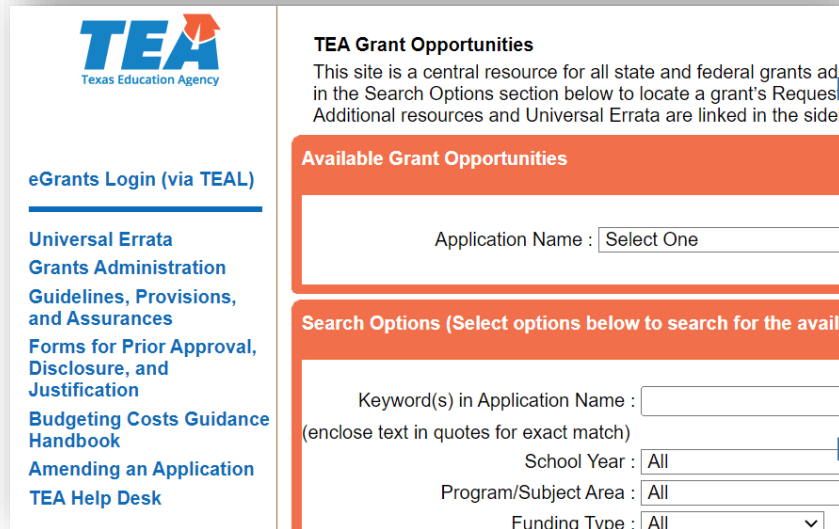
Items	
1. Generic Description: SUV	Number of Units: 3
Fund Source: Title IV, Part A - SSAEP	
Describe how the item will be used to accomplish the objective of the program: Used to provide a safe environment for students by decreasing the response time of Security Dept.	
2. Generic Description: Ice Machine	Number of Units: 7
Fund Source: Title IV, Part A - SSAEP	
Describe how the item will be used to accomplish the objective of the program: Use to enhance the new health and wellness program where students will treat injuries at 7 HS	

When including special or unusual costs, a “Special/Unusual Costs” form must be submitted via EDGAR Forms WorkApp System for pre-approval.



Resources

Grant Opportunities Page:



The screenshot shows the TEA Grant Opportunities page. On the left is a navigation menu with links: eGrants Login (via TEAL), Universal Errata, Grants Administration Guidelines, Provisions, and Assurances, Forms for Prior Approval, Disclosure, and Justification, Budgeting Costs Guidance Handbook, Amending an Application, and TEA Help Desk. The main content area has a header 'TEA Grant Opportunities' with a description. Below it is a section 'Available Grant Opportunities' with a search box for 'Application Name' set to 'Select One'. Further down is a 'Search Options' section with fields for 'Keyword(s) in Application Name', 'School Year' (set to 'All'), 'Program/Subject Area' (set to 'All'), and 'Funding Type' (set to 'All').

BUDGETING COSTS
GUIDANCE HANDBOOK

Program Guidelines

<https://tea.texas.gov/finance-and-grants/grants/federal-fiscal-compliance-and-reporting/compliance-and-reporting>

PAC Unit Contacts

Post-Award Compliance Unit Contacts

- **Post-Award Compliance Unit Manager:**
Laura Salazar, laura.Salazar@tea.texas.gov
- **PAC Unit Mailbox:** pac@tea.texas.gov
- **Post-Award Compliance Unit:**
Nelli Nino, Team Lead
Gracie Gonzales, Grant Compliance Specialist
Kayla Potter, Grant Compliance Specialist
Djuna Newby, Grant Compliance Specialist
Erin Hartmann, Grant Compliance Specialist